

EXHIBIT 4

Arbitrator's Order dated April 10, 2025 (Claimant's Motion to Complete
Discovery denied; non-compliance reserved for May 7 hearing)



ORDER

Submitted By: Peter Ordower (On behalf of the arbitration panel)

Submitted Date: 04/10/2025 05:28:50 PM ET

Case ID & Parties:

FINRA Dispute Resolution Services

ORDER

Case Number: 24-02286

In the Matter of the Arbitration Between

Claimant(s)

Jordan T Weiner

Terri P Tepper

VS

Respondent(s)

Oppenheimer & Co., Inc.

PREHEARING CONFERENCE

1. Was a prehearing conference held in the above captioned matter?

☐ Yes

☒ **No**

ISSUES ADDRESSED

2. Issues addressed: (i.e., name of motion or request, by which party)

☒ **The following submissions have been addressed:**

Claimant's Motion to Complete Discovery and Respondent's Motion to Bar Interrogatories

ORDER DECIDED BY

3. Decided by:

☐ Chairperson

☒ **Panel**

RULINGS

4. Rulings:

☒ **After considering the parties' submissions (and oral arguments, if prehearing conference held), the Panel/Chairperson rules as follows:**

Claimant's Motion is denied and Respondent's Motion is granted. In the event Claimant still believes at hearing that Respondent has failed to comply with FINRA rules or orders, claimant may raise those issues at the May 7 hearing.

5. Order compliance date:

- ☐ The parties should comply with this order by _____
- ☒ **Not applicable**

ASSESSMENT OF FEES

6. Cost of prehearing conference:

- ☒ **If the parties settle this matter with no further hearings, the forum fees for this prehearing conference (or discovery-related motion decided without a prehearing conference) are assessed as follows:**

50% to Claimant(s), jointly and severally

50% to Respondent(s), jointly and severally

_____ % assessed to _____

_____ % assessed to _____

_____ % assessed to _____

_____ % assessed to _____

- ☐ Not applicable

ATTACHMENTS

There are no attached documents.